

NCIC 2000
CONVICTED PERSON ON SUPERVISED RELEASE FILE

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NCIC 2000
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SECTION 1--INTRODUCTION

1.1 CRITERIA FOR ENTRY

Local, state, and federal supervision officers may enter records in NCIC 2000 for subjects who are put under specific restrictions during their probation, parole, or supervised release sentence following imprisonment. The court shall order as an explicit condition of a sentence of probation or supervised release "that the defendant not commit another local, state, or federal crime during the term of supervision"(Title 28, U.S.C., Sections 3563 and 3583). Among discretionary conditions that the court may impose are the following:

That the defendant :

1. Refrain from engaging in a specified occupation, business, or profession bearing a reasonable direct relationship to the conduct constituting the offense, or engage in such a specified occupation, business, or profession only to a stated degree or under stated circumstances.
2. Refrain from frequenting specified kinds of places or from associating unnecessarily with specified persons.
3. Refrain from excessive use of alcohol or any use of a narcotic drug or other controlled substance without a prescription by a licensed medical practitioner.
4. Refrain from possessing a firearm, destructive device, or other dangerous weapon.
5. Undergo available medical, psychiatric, or psychological treatment, including treatment for drug or alcohol dependency, as specified by the court, and remain in a specified institution if required for that purpose.
6. Remain in the custody of the Bureau of Prisons during nights, weekends, or other intervals of time totaling no more than the lesser of 1 year or the term of imprisonment authorized for the offense, during the first year of the term of probation.
7. Reside in a specified place or area or refrain from residing in a specific place or area.
8. Remain within the jurisdiction of the court, unless granted permission to leave by the court or a probation officer.
9. Notify the probation officer promptly if arrested or questioned by a law enforcement officer.

Any local, state, or federal criminal justice supervision agency may enter information on probationers and subjects of supervised releases convicted of federal crimes, felonies, or serious misdemeanors and released under its supervision.

1.2 MESSAGE KEY (MKE) CODES

Message	MKE	Translation
Entry	EC	PROBATION OR SUPERVISED RELEASE STATUS
	EC-C	PROBATION OR SUPERVISED RELEASE STATUS - CAUTION
Modify	MC	
Cancel	XC	
Inquiry*	QW ZW QWB QWI QV ZV	
Clear Entry of supplemental record of aliases and/or additional identifiers	CC ECN	
Entry of supplemental stolen/fraudulent identifiers	ECNS	
Cancellation of supplemental record	XCN	
Cancellation of stolen/ fraudulent identifiers	XCNS	

*There is no specific MKE to query the Convicted Person on Supervised Release File; however, a wanted person inquiry will return a hit response on this file if a match occurs.

1.3 RECORD RETENTION PERIOD

Convicted Person on Supervised Release File records have the same unlimited retention period as wanted person records. The agency is responsible for clearing a record when the terms of the probation or the parole have expired.

An exception to record retention periods will occur in the event a serious error is detected in the record on file or in the event the record is not validated as scheduled. Additional information on the serious errors program can be found in the Introduction chapter of this manual.

1.4 AUTOMATIC RETIREMENT

Data in the License Plate Number (LIC), License Plate Year of Expiration (LIY), and License Plate Type (LIT) Fields in a convicted person base record or supplemental record will be retired 1 year after the license plate expires as indicated in the LIY Field. If the expired license plate is the only searchable identifier in the Convicted Person on Supervised Release File record, the entire record will be retired.

A nonexpiring license plate (LIY/NX) contained in a Convicted Person on Supervised Release File record will remain on file until action is taken by the originating agency to remove the license data or clear or cancel the entire record.

1.5 VALIDATION

A portion of the Convicted Person on Supervised Release File records will be validated monthly as described in the Validation section of the Introduction chapter of this manual. For NCIC 2000 validation, each Convicted Person on Supervised Release File record that has not been validated within the last 90 days and has a Date of Validate Request older than 30 days will be automatically retired. A \$.F. Failure to Validate Notification will be sent to the entering agency and Control Terminal Agency in the state of entry.

1.6 MESSAGE FIELD CODES AND EDITS

Code	Field	Edits
ARI	Arresting Agency Identifier	Must be a valid NCIC 2000-assigned ORI.
CMC	Caution and Medical Conditions	Must be a valid NCIC 2000-assigned code as listed in Personal Descriptors, <i>NCIC 2000 Code Manual</i> .
CRI	ORI of Court Issuing Warrant	Must be a valid NCIC 2000-assigned ORI.

Code	Field	Edits
DCL	Date of Clear	Must be a valid Gregorian date (YYYYMMDD) equal to or less than current date.
DOA	Date of Arrest	Must be a valid Gregorian date (YYYYMMDD).
DOB	Date of Birth	Must be a valid Gregorian date (YYYYMMDD) if it is the only numeric identifier. The DOB cannot be later than the current date, the current date or any date 1 day through 9 years 364 days prior to current date. If the DOB is not the only numeric identifier, 00 is valid for the month and day when the actual date is unknown. More information in Personal Descriptors, <i>NCIC 2000 Code Manual</i> .
DOC	Date of Cancellation	Must be a valid Gregorian date (YYYYMMDD) equal to current date or current date minus one.
DPE	Date of Probation Expiration	Must be a valid Gregorian date (YYYYMMDD).
DPR	Date Probation or Release Revoked	Must be a valid Gregorian date (YYYYMMDD).
DSS	Date of Start of Supervision	Must be a valid Gregorian date (YYYYMMDD).
EDS	Date of Release from Supervision	Must be a valid Gregorian date (YYYYMMDD).
EYE	Eye Color	Must be a valid NCIC 2000-assigned code as listed in Personal Descriptors, <i>NCIC 2000 Code Manual</i> .
FBI	FBI Number	May be up to seven numerics; or one to six numerics followed by an alphabetic character A through H; or one to six numerics followed by an alphabetic character J through Z, followed by one or two check digits; or one to six numerics followed by two alphabets followed by one check digit. If the number contains one alphabetic character (J-Z), the check digit(s) will be 1 to 11. If the number contains two alphabetic characters, the first cannot be B, G, I, O, Q, S, U, Y, or Z; the second must be A, B, or C; and the check digit will be 0 to 9. The alphabetic characters

Code	Field	Edits
		I and O are always invalid. More information in Personal Descriptors, <i>NCIC 2000 Code Manual</i> .
FPC	Fingerprint Classification	Must be a valid NCIC 2000-assigned code as listed in Personal Descriptors, <i>NCIC 2000 Code Manual</i> . If the first character of any finger is numeric, the second character must also be numeric. Codes 00 and 50 may not be used.
HAI	Hair Color	Must be a valid NCIC 2000-assigned code as listed in Personal Descriptors, <i>NCIC 2000 Code Manual</i> .
HGT	Height	The first character represents feet and the second and third characters represent inches. May be a minimum of 400 but not more than a maximum of 711. More information in Personal Descriptors, <i>NCIC 2000 Code Manual</i> .
IMN	Image NCIC Number	A self-checking number automatically assigned by NCIC 2000 to each accepted image record, consisting of alphabetic character I followed by nine numeric characters. Must have valid check digit.
IMT	Image Type	Must be a valid NCIC 2000-assigned code as listed in the Image File chapter.
INC	Place of Incarceration	Free text.
LIC	License Plate Number	Must not be the characters UNK, UNKN, or UNKNOWN. If VMA is AERO, LIS is US, and LIT is PP or NP, the first character in the LIC Field must be the alphabetic N. If the license plate number exceeds ten characters, only the last ten characters should be entered in the LIC Field. The full plate number must be shown in the MIS Field.
LIS	License Plate State	Must be a valid NCIC 2000-assigned code as listed in State and Country Codes, <i>NCIC 2000 Code Manual</i> .
LIT	License Plate Type	Must be a valid code as listed in Vehicular Data Codes, <i>NCIC 2000 Code Manual</i> .

Code	Field	Edits
LIY	License Plate Year of Expiration	Must represent the highest year in which the license plate is valid. The value can be current year, current year minus 1 or later than current year; or the alphabetic NX to represent a nonexpiring registration.
LOC	Release Location	Free text.
MIS	Miscellaneous	SVIN must be entered in the MIS Field if the VIN is a state-assigned or nonconforming 17-character VIN.
MKE	Message Key	Must be a valid message key.
MNU	Miscellaneous Number	The first two characters must be a valid NCIC 2000-assigned code as listed in Personal Descriptors, <i>NCIC 2000 Code Manual</i> . The third character must be a hyphen. Entry of one zero only or a run of zeros is prohibited in positions 4 through 15. An originating agency police or identification number in MNU cannot be the only numeric identifier in the record.
NAM	Name	The name may include alphabetic, numeric, a comma, hyphens, and spaces; the comma must follow the last name; there can be no more than one space after the comma. The hyphen cannot be in the first position or directly precede the comma. The <i>NCIC 2000 Code Manual</i> , Personal Descriptors, provides coding instructions.
NIC	NCIC Number	A self-checking number consisting of an alphabetic character (C in the Convicted Person on Supervised Release File) followed by nine numeric characters automatically assigned by NCIC 2000 to each accepted record. Must have valid check digits when used to identify the record in subsequent transactions.
OCA	Originating Agency Case Number	Must not contain a single zero only, a run of zeros only, a single alphabetic only, the word NONE, or the first seven characters of the ORI Field. The only valid character is the hyphen.
OLN	Operator's License Number	One zero only or a run of zeros only indicating a license is unknown may not be used. More information in Personal Descriptors, <i>NCIC 2000 Code Manual</i> .

Code	Field	Edits
OLS	Operator's License State	Must be a valid NCIC 2000-assigned code as listed in State and Country Codes, <i>NCIC 2000 Code Manual</i> .
OLY	Operator's License Year of Expiration	Must represent the year the license expires or the alphabetic NX to represent a nonexpiring license. More information in Personal Descriptors, <i>NCIC 2000 Code Manual</i> .
OOO	Original Offense	Must be a valid NCIC 2000-assigned code as listed in Uniform Offense Codes, <i>NCIC 2000 Code Manual</i> .
ORI	Originating Agency Identifier	Must be a valid NCIC 2000-assigned ORI.
POB	Place of Birth	Must be a valid NCIC 2000-assigned code as listed in State and Country Codes, <i>NCIC 2000 Code Manual</i> . More information in Personal Descriptors, <i>NCIC 2000 Code Manual</i> .
RAC	Race	Must be a valid NCIC 2000-assigned code as listed in Personal Descriptors, <i>NCIC 2000 Code Manual</i> .
RPR	Reason Probation or Release Revoked	Free text.
SCI	Sentencing Court Identifier	Must be a valid NCIC 2000-assigned ORI.
SEX	Sex	Must be a valid NCIC 2000-assigned code as listed in Personal Descriptors, <i>NCIC 2000 Code Manual</i> .
SKN	Skin Tone	Must be a valid NCIC 2000-assigned code as listed in Personal Descriptors, <i>NCIC 2000 Code Manual</i> .
SMT	Scars, Marks, Tattoos, and Other Characteristics	Must be a valid NCIC 2000-assigned code as listed in Personal Descriptors, <i>NCIC 2000 Code Manual</i> .
SOC	Social Security Number	Must not be less than 001010001 or have a value of 8 or 9 in the first position or have a value of 00 in the fourth and fifth position. Invalid and/or nonissue numbers are accepted but cause a SOC attention

Code	Field	Edits
SON	Name of Supervising Officer	message. More information in Personal Descriptors, <i>NCIC 2000 Code Manual</i> .
SOT	Business Telephone of Supervising Officer	Must be three numerics, a space, three numerics, a hyphen, followed by four numerics.
VCO	Vehicle Color	Must be a valid NCIC 2000-assigned code as listed in Vehicular Data Codes, <i>NCIC 2000 Code Manual</i> . If two colors are used, they must be separated by a slash (/).
VIN	Vehicle Identification Number	Single zero only, run of zeros only, single alphabetic only, all alphabetic only, or spaces, cannot be used. The Vehicle File chapter of this manual has additional edits on the VIN Field. If state-assigned or non-conforming 17-character VIN, SVIN must be entered in the MIS Field. If the VIN exceeds 20 characters, only the last 20 characters should be entered in the VIN Field. Full VIN must then be shown in the MIS Field.
VLN	Name of Validator	Any valid characters representing validator.
VMA	Vehicle Make	For NCIC 2000 messages the VMA Field can be up to 24 characters. The first four characters must be alphabetic and a valid NCIC 2000-assigned code. If the VMA code is less than four characters and data are included in positions 5 through 24, positions 3 and/or 4 should be blanks. The remaining characters are free text and must contain the name of the manufacturer when the code is AERO, ATV, COEQ, CYL, FARM, SNOW, SPEC, TRLR, or TRUK. If the VMO is other than TL, the VMA code must be a valid NCIC 2000-assigned code as listed in Vehicular Data Codes, <i>NCIC 2000 Code Manual</i> .

Code	Field	Edits
		<p>If the VMO is TL, the VMA code must not be the characters: ASM, ASMB, ASSE, ASSM, MB, MC, MK, MP, MS, NA, TK, TL, UNK, UNKN, XX, XXX, XXXX, YY, YYY, YYYY, ZZ, ZZZ, or ZZZZ.</p> <p>For every assembled vehicle that does not have a manufacturer-assigned VIN, the code must be ASVE.</p>
	(Jeep)	If the VMA code is JEP, the VYR must be 1969 or less. If the VMA code is AMER, the VYR must be 1988 or less. If the VMA code is JEEP, the VYR must be 1989 or greater.
	(aircraft)	If VST is 1J, 2J, 3J, MJ, 1P, 2P, 3P, MP, BP, HP, or SA, the code must be AERO.
	(all-terrain vehicle, dune buggy, go-cart, golf cart, and snowmobile)	If VST is EB, EN, or OP, the VMA code must be SPEC, ATV, SNOW, or one of the approved VMA snowmobiles or motorcycles listed in Vehicular Data Codes, <i>NCIC 2000 Code Manual</i> . If VST is MV, the VMA code must be SPEC, ATV, CYL, or one of the approved VMA codes for snowmobiles or motorcycles listed in Vehicular Data Codes, <i>NCIC 2000 Code Manual</i> . If VMA code is ATV or SPEC, the VST must be EB, EN, MV, or OP, and the MIS Field must have one nonblank character.
VMO	Vehicle Model	<p>Must be alphanumeric characters. Spaces cannot be skipped. Hyphens or symbols should be used. More information in Vehicular Data Codes, <i>NCIC 2000 Code Manual</i>.</p> <p>The only valid VMO codes for vehicles with VST codes EB, EN, MV, or OP are (blank), ATV, DUN, GOF, GRT, SKT, SKW, TOY, TRA, TRW, or WHE.</p>
	(assembled automobile)	If the first four characters of the VMA are ASVE, the VMO must be AV or REP.
	(construction equipment)	If the first four characters of the VMA are COEQ, the VMO must be CE.

Code	Field	Edits
	(farm and garden equipment)	If the first four characters of the VMA are FARM, the VMO must be FE.
	(motorcycle)	If the VST is MB, MC, MD, MK, MS, or MY, the VMO required is CYL.
	(snowmobile)	If the first four characters of the VMA are SNOW or one of the valid snowmobile manufacturer's codes listed in the Vehicular Data Codes, <i>NCIC 2000 Code Manual</i> , the VMO must be SKT, SKW, TRA, TRW, or WHE.
	(trailer)	If the first four characters of the VMA are TRLR or HMDE, the VMO must be TL.
	(truck)	If the first four characters of the VMA are TRUK, the VMO must be TK.
VST	Vehicle Style	Must be a valid NCIC 2000-assigned code as listed in Vehicular Data Codes, <i>NCIC 2000 Code Manual</i> .
VYR	Vehicle Year	Must represent the product (model) year during which the vehicle was manufactured (YYYY). Year cannot be more than 1 year beyond the current model year. For entries of model year 1981 or later, and when the VIN is 17 characters, the tenth position (vehicle year) of a VIN must represent the VYR.
WGT	Weight	Minimum of 050 and maximum of 499. More information in Personal Descriptors, <i>NCIC 2000 Code Manual</i> .
WNO	Warrant Number	One to fifteen alphanumeric characters.

1.7 CRITERIA FOR REJECTING DUPLICATE RECORDS

1. If the following fields of a Convicted Person on Supervised Release File entry message are the same as the corresponding fields of a Convicted Person on Supervised Release record already on file, the second entry will be rejected with the message REJECT ON FILE: FBI and ORI; MNU and ORI; SOC and ORI; OLN, OLS, and ORI; VIN, VMA, NAM, and ORI; LIC, LIS, LIY, LIT, NAM, and ORI; OCA, NAM, and ORI; and DOB, NAM, and ORI.

2. Whenever the message REJECT ON FILE is sent, the record on file will also be transmitted.
3. A duplicate record will be accepted if the ORI in the second entry is different or the person type is other than Convicted Person on Supervised Release, such as VGTOF, Wanted Person, etc. A duplicate record will also be accepted if there are vehicle data in the Convicted Person on Supervised Release record message that match data in the Vehicle File. In those cases, the duplicate record(s) will be furnished with the entry/modify acknowledgment.

1.8 IMAGE CAPABILITY

The Image File chapter of the manual contains information regarding entry, modification, cancellation, and inquiry of images in NCIC 2000.

SECTION 2--ENTRY

2.1 EXAMPLE OF AN ENTRY

1N01000005.ECS.MD101260G.SMITH, JOHN J.M.B.AL.
 19620623.509.168.BRO.BLK.494999W5.DRK.PRCD R EAR.
 121011CO141159TTCI13.AS-123456789.123456789.34862561.MD.2003.
 0907.612767853456.ON PAROLE, SUBJECT SHOULD REMAIN
 WITHIN TOWN LIMITS OF BALTIMORE, NOT ALLOWED IN BARS.
 ABC123.MD.1996.PC.2Y27H5L100009.1975.PONT.VEN.2D.BLU..
 MD123456J.BALTIMORE PENITENTIARY.19900615.19991009.19951009.
 FITZPATRICK, JOHN.301 555-3013.20021009.MD1012600..WORK FARM MD

Acknowledgment:

1L01000005
 MD101260G
 NAM/SMITH, JOHN J NIC/C146203706

2.2 MESSAGE FIELD CODES FOR ENTRY

FIELD NAME	REQUIREMENTS	MESSAGE FIELD CODE	FIELD LENGTH	DATA TYPE
HEADER	MANDATORY	HDR	9-19	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
MESSAGE KEY	MANDATORY	MKE	2-4	ALPHABETIC, SPECIAL CHARACTERS
ORIGINATING AGENCY IDENTIFIER	MANDATORY	ORI	9-9	ALPHABETIC, NUMERIC
NAME	MANDATORY	NAM	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
SEX	MANDATORY	SEX	1-1	CODE AS DEFINED IN NCIC 2000 CODE MANUAL
RACE	MANDATORY	RAC	1-1	CODE AS DEFINED IN NCIC 2000 CODE MANUAL
PLACE OF BIRTH	OPTIONAL	POB	2-2	CODE AS DEFINED IN NCIC 2000 CODE MANUAL
DATE OF BIRTH	CONDITIONAL	DOB	8-8	NUMERIC
HEIGHT	MANDATORY	HGT	3-3	NUMERIC
WEIGHT	MANDATORY	WGT	3-3	NUMERIC
EYE COLOR	OPTIONAL	EYE	3-3	CODE AS DEFINED IN NCIC 2000 CODE MANUAL
HAIR COLOR	MANDATORY	HAI	3-3	CODE AS DEFINED IN NCIC 2000 CODE MANUAL
FBI NUMBER	CONDITIONAL	FBI	1-9	ALPHABETIC, NUMERIC

SKIN TONE	OPTIONAL	SKN	3-3	CODE AS DEFINED IN <i>NCIC 2000 CODE MANUAL</i>
SCARS, MARKS, TATTOOS, AND OTHER CHARACTERISTICS	OPTIONAL	SMT	3-10	CODE AS DEFINED IN <i>NCIC 2000 CODE MANUAL</i>
FINGERPRINT CLASSIFICATION	OPTIONAL	FPC	20-20	ALPHABETIC, NUMERIC
MISCELLANEOUS NUMBER	CONDITIONAL	MNU	4-15	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
SOCIAL SECURITY NUMBER	CONDITIONAL	SOC	9-9	NUMERIC
OPERATOR'S LICENSE NUMBER	CONDITIONAL SET	OLN	1-20	ALPHABETIC, NUMERIC
OPERATOR'S LICENSE STATE	SET	OLS	2-2	CODE AS DEFINED IN <i>NCIC 2000 CODE MANUAL</i>
OPERATOR'S LICENSE YEAR OF EXPIRATION	SET	OLY	2-2 4-4	ALPHABETIC (2) NUMERIC (4)
ORIGINAL OFFENSE CODE	OPTIONAL	OOO	4-4	CODE AS DEFINED IN <i>NCIC 2000 CODE MANUAL</i>
ORIGINATING AGENCY CASE NUMBER	CONDITIONAL	OCA	1-20	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
MISCELLANEOUS	CONDITIONAL	MIS	1-500	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
LICENSE PLATE NUMBER	CONDITIONAL SET	LIC	1-10	ALPHABETIC, NUMERIC
LICENSE PLATE STATE	SET	LIS	2-2	CODE AS DEFINED IN <i>NCIC 2000 CODE MANUAL</i>
LICENSE PLATE YEAR OF EXPIRATION	SET	LIY	2-2 4-4	ALPHABETIC (2) NUMERIC (4)
LICENSE PLATE TYPE	SET	LIT	2-2	CODE AS DEFINED IN <i>NCIC 2000 CODE MANUAL</i>
VEHICLE IDENTIFICATION NUMBER	CONDITIONAL SET	VIN	1-20	ALPHABETIC, NUMERIC
VEHICLE YEAR	SET	VYR	4-4	NUMERIC
VEHICLE MAKE	SET	VMA	2-24	CODE AS DEFINED IN <i>NCIC 2000 CODE MANUAL</i>
VEHICLE MODEL	SET	VMO	2-3	CODE AS DEFINED IN <i>NCIC 2000 CODE MANUAL</i>
VEHICLE STYLE	SET	VST	2-2	CODE AS DEFINED IN <i>NCIC 2000 CODE MANUAL</i>
VEHICLE COLOR	SET	VCO	3-3 7-7	CODE AS DEFINED IN <i>NCIC 2000 CODE MANUAL</i>

ENTRY

CAUTION AND MEDICAL CONDITIONS	CONDITIONAL	CMC	2-2	CODE AS DEFINED IN NCIC 2000 CODE MANUAL
SENTENCING COURT IDENTIFIER	MANDATORY	SCI	9-9	ALPHABETIC, NUMERIC
PLACE OF INCARCERATION	OPTIONAL	INC	1-50	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
DATE OF ARREST	OPTIONAL	DOA	8-8	NUMERIC
DATE OF PROBATION EXPIRATION	MANDATORY	DPE	8-8	NUMERIC
DATE OF START OF SUPERVISION	MANDATORY	DSS	8-8	NUMERIC
NAME OF SUPERVISING OFFICER	MANDATORY	SON	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
BUSINESS TELEPHONE NUMBER OF SUPERVISING OFFICER	MANDATORY	SOT	12-12	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
DATE OF RELEASE FROM SUPERVISION	MANDATORY	EDS	8-8	NUMERIC
ARRESTING AGENCY IDENTIFIER	OPTIONAL	ARI	9-9	ALPHABETIC, NUMERIC
DATE PROBATION OR RELEASE REVOKED	OPTIONAL	DPR	8-8	NUMERIC
REASON PROBATION OR RELEASE REVOKED	OPTIONAL	RPR	1-20	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
RELEASE LOCATION	OPTIONAL	LOC	1-50	ALPHABETIC, NUMERIC
WARRANT NUMBER	OPTIONAL	WNO	1-15	ALPHABETIC, NUMERIC
ORI OF COURT ISSUING WARRANT	OPTIONAL	CRI	9-9	ALPHABETIC, NUMERIC
NAME	OPTIONAL	NAM*	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
DATE OF BIRTH	OPTIONAL	DOB*	8-8	NUMERIC
SOCIAL SECURITY NUMBER	OPTIONAL	SOC*	9-9	NUMERIC
MISCELLANEOUS NUMBER	OPTIONAL	MNU*	4-15	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
OPERATOR'S LICENSE NUMBER	CONDITIONAL SET	OLN*	1-20	ALPHABETIC, NUMERIC
OPERATOR'S LICENSE STATE	SET	OLS*	2-2	ALPHABETIC
OPERATOR'S LICENSE YEAR OF EXPIRATION	SET	OLY*	2-2 4-4	ALPHABETIC (2), NUMERIC (4)

*Fraudulent data

2.3 MANDATORY FIELDS FOR ENTRY

1. The following fields are mandatory to cause acceptance of a convicted person on supervised release entry into NCIC 2000: HDR, MKE, ORI, NAM (base record NAM must be included in all entry messages and must be the individual's true name), SEX, RAC, HGT, WGT, HAI, OCA, SCI, DPE, DSS, SON, SOT, EDS, and at least one of the following numeric identifiers: DOB (including year, month, and day); FBI; MNU (other than originating agency police or identification number); SOC; OLN with OLS and OLY; LIC with LIS, LIY, and LIT; or VIN with VYR, VMA, and VST.
2. The base record entry format includes one of each of the stolen/fraudulent (S/F) identifier fields. These fields immediately follow the CRI Field and are entered in the following order: S/F name; S/F date of birth; S/F Social Security number; S/F miscellaneous identifying number; and S/F operator's license number, state, and year of expiration. Any agency transmitting a base record entry message may optionally include one of each type of the S/F identifiers if at least one of the individual's real identifiers are included in the base record.
3. Although the S/F fields are appended to the base record entry message, NCIC 2000 will process the data therein as a supplemental S/F identifier record entry message. Thus, S/F identifiers included in a base record entry message cannot be changed or deleted with a modify message. Stolen/fraudulent identifiers can be changed or deleted only by using the supplemental S/F identifier record entry and cancel transactions.
4. The entry of invalid and/or nonissued numbers in the SOC Field is allowed. A caveat will be generated stating that the SOC has not been assigned and that the agency should check for a possible data entry error. For example:

ATTENTION -- THE SOC USED IN YOUR TRANSACTION HAS NOT BEEN ASSIGNED BY THE SOCIAL SECURITY ADMINISTRATION. PLEASE CHECK FOR A DATA ENTRY ERROR IN YOUR QUERY AND RESUBMIT IF NECESSARY.

2.4 ADDITIONAL GUIDELINES FOR ENTRY

1. The entering agency (ORI) must account for all fields in the Convicted Person on Supervised Release File record entry format. In the original entry, all available data called for in the record must be entered. Missing data obtained at a later time should be promptly added through the use of a modify message (MKE/MC). Guidelines for the entry of aliases and/or other additional identifiers as a supplemental record to a Convicted Person on Supervised Release File record are located in Section 8 of this chapter.

2. For training and administrative purposes, agencies may enter test records into NCIC 2000 by using the header TN01. The test records will not generate any notifications nor will batch processing be performed in the test system.
3. If a DOB is the only known numerical identifier, it must be a valid Gregorian date (YYYYMMDD) or the entry will be rejected.
4. All numerical identifiers except OCA and MNU are to be entered omitting spaces, hyphens, and symbols. A hyphen may be used in the OCA Field, and a hyphen should be used to separate the first two alphabetic characters from the number itself in the MNU Field.

2.5 MESSAGE FIELD CODE EDITS FOR ENTRY

1. PERSONAL DESCRIPTORS

Entry instructions for NAM, SEX, RAC, DOB, HGT, WGT, EYE, HAI, FBI, SKN, SMT, FPC, MNU, SOC, and OLN can be found in Personal Descriptors, *NCIC 2000 Code Manual*. Codes for entering the POB can be found in State and Country Codes, *NCIC 2000 Code Manual*.

2. CAUTION AND MEDICAL CONDITIONS (CMC)

When a Convicted Person on Supervised Release File record is entered with a Caution indicator, the CMC Field must contain a valid caution and medical code. Section 8 of this chapter describes the process for entry of additional CMC codes as a supplemental record to a Convicted Person on Supervised Release record. The following are the allowable CMC codes and their translations.

00 = Armed and Dangerous	55 = Alcoholic
05 = Violent Tendencies	60 = Allergies
10 = Martial Arts Expert	65 = Epilepsy
15 = Explosive Expertise	70 = Suicidal
20 = Known to abuse drugs	80 = Medication Required
25 = Escape Risk	85 = Hemophiliac
30 = Sexually Violent Predator - Contact ORI for Detailed Information	
50 = Heart Condition	90 = Diabetic
01 = Other	

3. ORIGINAL OFFENSE CODE - UNIFORM OFFENSE CLASSIFICATIONS (OOC)

The OOC Field is used to describe the offense which occurred prior to the parole or probation status. The appropriate code for the particular offense should be entered in the OOC. The codes listed in Uniform Offense Codes, *NCIC 2000 Code Manual*, are the only codes approved for use in the OOC.

4. MISCELLANEOUS (MIS) DATA

1. Aliases, vehicular data, dates of birth, Social Security numbers, and operator's license numbers should not be entered in the MIS Field. All additional searchable data should be entered as a supplemental record (MKE/ECN) illustrated and explained in Section 8 of this chapter, as this procedure increases the chances of a hit on the record.

Nicknames (monikers) are to be entered in the MIS Field, not in the AKA Field of the Convicted Person on Supervised Release File record. Information in the MIS Field is not searchable.

2. If the entry contains an FBI number, the entering agency may obtain a copy of the subject's manual identification record by entering the alphabetic code Send Identification Record (SIR) as the last item in the MIS Field. A blank character must precede SIR if other information is included in the MIS Field.
3. Cross-referencing with another subject record may be accomplished by placing the name and NIC of the other subject's record in the MIS Field of the other record with an appropriate explanatory notation.

5. VEHICLE OR LICENSE PLATE DATA

A vehicle and/or license plate belonging to the subject may be entered as part of a Convicted Person on Supervised Release record (EC, EC-C) provided the entering agency has reasonable grounds to believe the subject of the record may be operating the vehicle or vehicle bearing the license plate.

Mere knowledge or verification with the appropriate motor vehicle department that a vehicle and/or license plate is registered to the Convicted Person on Supervised Release does not meet the criteria for entry of part of his/her record.

6. VEHICLE OR LICENSE PLATE DATA AND THE \$.8. PROGRAM

An agency making a Convicted Person on Supervised Release File entry containing data on a vehicle or license plate registered in another state must advise the Control Terminal Agency (CTA) in the state of registry about such an entry if the state of registry is not a \$.8. Program participant. Additional information on the \$.8. administrative message can be found in the Introduction chapter of this manual.

SECTION 3--MODIFICATION

3.1 WHEN TO USE A MODIFICATION MESSAGE

Modification of a record is restricted to the agency that entered the record. A modification message is used to add, delete, or change data in a Convicted Person on Supervised Release File base record. A modification message is also used to validate a record.

A modification message used to delete information in the MNU, DOB, SOC, SMT, or CMC Field of the base record will cause the oldest data in the supplemental record to move up to the base record.

3.2 EXAMPLE OF A MODIFICATION MESSAGE

1N01HEADER.MC.MD101260G.NAM/SMITH, JOHN J.NIC/C146203706.
SOC/123456789.OLY/1996

Acknowledgment:

1L01HEADER
MD101260G
MODIFY NAM/SMITH, JOHN J NIC/C146203706

The above modification example contains: header (1N01HEADER), message key (MC), Originating Agency Identifier (MD101260G), two record identifiers (NAM/SMITH, JOHN J and NIC/C146203706), the fields being modified, and the data being changed (SOC/123456789 and OLY/1996).

3.3 MESSAGE FIELD CODES FOR MODIFICATION

FIELD NAME	REQUIREMENTS	MESSAGE FIELD CODE	FIELD LENGTH	DATA TYPE
HEADER	MANDATORY	HDR	9-19	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
MESSAGE KEY	MANDATORY	MKE	2-2	ALPHABETIC
ORIGINATING AGENCY IDENTIFIER	MANDATORY	ORI	9-9	ALPHABETIC, NUMERIC
NAME	CONDITIONAL	NAM	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
NCIC NUMBER	CONDITIONAL	NIC	10-10	ALPHABETIC, NUMERIC
ORIGINATING AGENCY CASE NUMBER	CONDITIONAL	OCA	1-20	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
NAME OF VALIDATOR	OPTIONAL	VLN	1-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
ANY FIELD(S) FROM ENTRY TRANSACTION	OPTIONAL			

3.4 IDENTIFICATION OF A RECORD TO BE MODIFIED

The record to be modified must be identified by either NAM and NIC, in that order; NAM and OCA, in that order; or NIC and OCA, in that order, preceded by the proper MFC. The name used in identifying the record to be modified must be set forth with the exact spelling and spacing as shown in the record on file.

3.5 ADDITIONAL GUIDELINES FOR MODIFICATION

An agency modifying a Convicted Person on Supervised Release File record to insert an FBI number may also request a copy of the subject's identification record by adding SIR (Send Identification Record) as the last item in the MIS Field. A blank character must precede SIR if other information is included in the MIS Field. Only the agency that entered the record may request a copy of the identification record through this means.

Further instructions to modify an alias and/or an additional identifier previously appended to a Convicted Person on Supervised Release File record by means of a supplemental record are provided in Section 8 of this chapter.

1. MODIFICATION TO REMOVE ALL VEHICULAR DATA

If there is a need to delete all vehicular data from a Convicted Person on Supervised Release File record, a special modification message may be used to remove all vehicular data from the base record without identifying each individual field. The characters LIC-VCO/. following the two record identifiers. LIC-VCO/. cause the NCIC 2000 System to delete the following fields: LIC, LIS, LIY, LIT, VIN, VYR, VMA, VMO, VST, and VCO. For example:

```
1N01HEADER.MC.MD101260G.NAM/SMITH, JOHN J.NIC/C146203706.LIC-VCO/.
```

Acknowledgment:

```
1L01HEADER
MD101260G
MODIFY NAM/SMITH, JOHN J NIC/C146203706
```

The above modification example to remove all vehicular data contains: header (1N01HEADER), message key (MC), Originating Agency Identifier (MD101260G), two record identifiers (NAM/SMTH, JOHN J and NIC/C146203706), and the fields being removed (LIC-VCO) immediately followed by slash period (/).

2. MODIFICATION TO REMOVE PART OF VEHICULAR DATA

The special modification message explained above cannot be used to remove less than all of the vehicular data from a Convicted Person on Supervised Release File base record. For example, if only the four fields of license plate data (LIC, LIS, LIY,

LIT) are to be deleted from the record, the usual modifying procedure must be followed, with each field to be deleted explicitly set forth in the modify message. For example:

```
1N01000005.MC.MD1012600.NAM/DOE, JOHN J.NIC/C146203706.  
LIC/.LIS/.LIY/.LIT/.
```

3. VALIDATION

For NCIC 2000 validation, a Name of Validator (VLN) Field may be added to a Convicted Person on Supervised Release File record to indicate that the record has met the criteria for validation. When the VLN Field is entered, NCIC 2000 stores the current date in the record as the Date Last Validated (VLD) Field. If the user attempts to delete or modify the VLN Field to all blanks, the message will be rejected. The acknowledgment for the modification message containing a VLN will indicate the record has been validated. The VLN Field can be in any form that will uniquely identify the individual responsible for the validation of the record, e.g., name, user ID, employee number, etc. For example:

```
1N01HEADER.MC.MD101260G.NAM/SMITH, JOHN J.NIC/C000069216.  
SOC/144557911.VLN/JONES, DAVID E
```

Acknowledgment:

```
1L01HEADER  
MD101260G  
VALIDATE NAM/SMITH, JOHN NIC/C000069216
```

In the example, the record is modified at the same time it is validated.

SECTION 4--CANCELLATION

4.1 WHEN TO USE A CANCELLATION MESSAGE

Cancellation of a record is restricted to the agency that entered the record. A cancellation message is used when the entering agency determines that the record is invalid and was entered in error.

4.2 EXAMPLE OF A CANCELLATION MESSAGE

```
1N01HEADER.XC.MD101260G.NAM/SMITH, JOHN J.NIC/C146203706.19991205
```

Acknowledgment:

```
1L01HEADER
MD101260G
CANCEL NAM/SMITH, JOHN J NIC/C146203706
```

The above cancellation example contains: header (1N01HEADER), message key (XC), Originating Agency Identifier (MD101260G), two record identifiers (NAM/ SMITH, JOHN J and NIC/C146203706), and date of cancellation (19991205).

When a Convicted Person on Supervised Release File record is canceled, the entire record, including all data appended to the record by means of a supplemental record entry, is automatically canceled.

4.3 MESSAGE FIELD CODES FOR CANCELLATION

FIELD NAME	REQUIREMENTS	MESSAGE FIELD CODE	FIELD LENGTH	DATA TYPE
HEADER	MANDATORY	HDR	9-19	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
MESSAGE KEY	MANDATORY	MKE	2-2	ALPHABETIC
ORIGINATING AGENCY IDENTIFIER	MANDATORY	ORI	9-9	ALPHABETIC, NUMERIC
NAME	CONDITIONAL	NAM	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
NCIC NUMBER	CONDITIONAL	NIC	10-10	ALPHABETIC, NUMERIC
ORIGINATING AGENCY CASE NUMBER	CONDITIONAL	OCA	1-20	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
DATE OF CANCEL	MANDATORY	DOC	8-8	NUMERIC

4.4 IDENTIFICATION OF A RECORD TO BE CANCELED

The record to be canceled must be identified by either NAM and NIC, in that order; or NAM and OCA, in that order; or NIC and OCA, in that order, preceded by the proper MFC. The name used in identifying the record to be canceled must be set forth with the exact spelling and spacing as shown in the record on file. Cancellation date (which must be the current date or yesterday's date) must follow the two record identifiers.

4.5 ADDITIONAL GUIDELINE FOR CANCELLATION

Benefits and effectiveness data are not collected for the Convicted Person on Supervised Release File.

SECTION 5--INQUIRY

5.1 REQUIREMENTS FOR INQUIRY

When an agency transmits an NCIC 2000 wanted person inquiry, the data in the Convicted Person on Supervised Release File will be searched in addition to all other NCIC 2000 person files (except the Unidentified Person File). As a part of a positive Convicted Person on Supervised Release File response, the receiving agency is advised that the subject cannot be arrested solely upon the basis of the information provided. Additional information can be found in the Wanted Person File chapter.

5.2 EXAMPLE OF AN INQUIRY WITH NEGATIVE AND POSITIVE RESPONSES

The message keys QW, ZW, QWB, and QWI are used for Wanted Person File inquiries. A Wanted Person File inquiry will also cause an automatic cross-search of the Foreign Fugitive, Missing Person, Violent Gang and Terrorist Organization, Protection Order, Deported Felon, Convicted Sexual Offender Registry, and U.S. Secret Service Protective Files. If vehicle identifiers are included in the inquiry, the Vehicle, Boat, Vehicle/Boat Part, and License Plate Files will also be searched.

```
1N01HEADER.QW.WA1230000.NAM/SMITH, JOHN J.SEX/M.RAC/W.DOB/19511012.
VIN/ABC123DEF45678901
```

Negative Response:

```
1L01HEADER
WA1230000
```

```
NO NCIC WANT DOB/19511012 NAM/SMITH, JOHN J SEX/M RAC/W
NO NCIC WANT VIN/ABC123DEF45678901
***VERIFY VIN/ABC123DEF45678901; IT DOES NOT CONFORM TO
VIN STANDARDS FOR 1981 AND LATER VEHICLES.
```

Positive Response:

```
1L01000005
WA1230000
```

```
WARNING - DO NOT ARREST BASED ON THIS INFORMATION
MKE/PROBATION OR SUPERVISED RELEASE STATUS
ORI/VA013123G NAM/SMITH, JOHN J SEX/M RAC/W POB/TX DOB/19650220
HGT/510 WGT/175 EYE/BRO HAI/BRO FBI/123456A SKN/DRK
SMT/SC R HD
FPC/121011CO141159TTCI13 MNU/AS-123456789 SOC/123456789
OLN/98765432 OLS/MD OLY/2000
OOC/ROBBERY OCA/611112131
VLD/20000521 VLN/JOHNSON, DAVID
MIS/SUBJECT ON PAROLE MAY NOT LEAVE THE AREA PROHIBITED TO
MIS/FREQUENT BARS
LIC/ABC123 LIS/VA LIY/1999 LIT/PC
VIN/2F37Z7P051519 VYR/1977
VMA/PONT VMO/LEM VST/2D VCO/WHI
```

INQUIRY

DPE/20031009 SCI/VA12346J LOC/ANY COUNTY VA PAROLE OFFICE
DSS/19930509 EDS/20051105 SON/FITZPATRICK, JOHN SOT/703 555-3013
ARI/VA1012600 INC/VA STATE PENITENTIARY DOA/19900615
ORI IS ANY CITY PAROLE OFFICE VA 703 555-1234
DOB/19641111
AKA/THOMPSON, ROBERT
AKA/SMITH, WILLIAM
SMT/TAT R ARM
MNU/AS-111002222
SOC/111002222
OLN/L22057654321 IL 1996
OLN/L2205765441107R WI 1996
OLN/R1605725441105 WI 1997
LIC/ABC654 MD 1996 PC
LIC/345678 MD 1996 PC
VIN/3J57K5D012345 1975 OLDS CUT 2T BLU
VIN/A9C437C003980 1979 AMER GRE 2D TRQ
IMN/I435461801 IMT/M
NIC/C146203706 DTE/19991020 0001 EST
REPEAT - PROBATION OR SUPERVISED RELEASE STATUS RECORD,
DO NOT ARREST BASED ON THIS INFORMATION,
CONTACT ORI IF RECORD SUBJECT IS ARRESTED FOR A NEW OFFENSE.

Example of Notification Sent to Parole or Probation Officer:

\$.O.
VA013123G
ORI/WA1230000 IS ANY CITY PD WA
HDR/1N01HEADER

AS A RESPONSE TO AN INQUIRY, A HIT OCCURRED ON A PROBATION OR SUPERVISED
RELEASE STATUS RECORD.
PLEASE CONTACT REQUESTING ORI FOR DETAILS.

NAM/SMITH JOHN, J DOB/19311012 OCA/611112131 SOC/123456789
DPE/20031009
NIC/C146203706

This notification is used to inform the parole or probation officer that an inquiry has been made on a subject this officer supervises. The first ORI in the notification is the ORI of the officer. The second ORI is the ORI of the agency initiating the transaction which resulted in the hit response (enter, modify, inquiry) and 1N01HEADER is the header of the transaction that resulted in the hit response (enter, modify, inquiry).

5.3 PROCEDURES FOR HANDLING A HIT

No arrest or detention should be made based solely on a Convicted Person on Supervised Release File record response.

If a positive response (hit) includes vehicle or license plate data in the Convicted Person on Supervised Release File record, the Vehicle File should be queried to ascertain if the vehicle and/or license plate is stolen.

SECTION 6--LOCATE

There are no locate procedures for the Convicted Person on Supervised Release File.

SECTION 7--CLEAR

7.1 WHEN TO USE A CLEAR MESSAGE

The use of a clear transaction results in the immediate removal of the subject record and all associated identifiers. Clearance of a record is restricted to the agency that entered the record. A clear message should be transmitted when a subject is no longer under a status of supervised release, such as when the probation has expired, has been rescinded, etc.

7.2 EXAMPLE OF A CLEAR MESSAGE

```
1N01HEADER.CC.MD101260G.NAM/SMITH, JOHN J.NIC/C146203706.19991205
```

Acknowledgment:

```
1L01HEADER
MD101260G
CLEAR NAM/SMITH, JOHN J NIC/C146203706
```

The above clear example contains: header (1N01HEADER), message key (CC), Originating Agency Identifier (MD101260G), two record identifiers (NAM/SMITH, JOHN J and NIC/C146203706), and the date of clear (19991205).

7.3 MESSAGE FIELD CODES FOR CLEAR

FIELD NAME	REQUIREMENTS	MESSAGE FIELD CODE	FIELD LENGTH	DATA TYPE
HEADER	MANDATORY	HDR	9-19	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
MESSAGE KEY	MANDATORY	MKE	2-2	ALPHABETIC
ORIGINATING AGENCY IDENTIFIER	MANDATORY	ORI	9-9	ALPHABETIC, NUMERIC
NAME	CONDITIONAL	NAM	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
NCIC NUMBER	CONDITIONAL	NIC	10-10	ALPHABETIC, NUMERIC
ORIGINATING AGENCY CASE NUMBER	CONDITIONAL	OCA	1-20	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
DATE OF CLEAR	MANDATORY	DCL	8-8	NUMERIC

7.4 IDENTIFICATION OF A RECORD TO BE CLEARED

The record to be cleared must be identified by either NAM and NIC, in that order; NAM and OCA, in that order; or NIC and OCA, in that order, with each data element preceded by the proper MFC. The name used in identifying the record to be cleared must be set forth with the exact spelling and spacing as shown in the record on file.

7.5 ADDITIONAL GUIDELINES FOR CLEAR

The date of clear (which must be the current date or less than the current date) must follow the two record identifiers.

There are no benefits and effectiveness data collected for Convicted Person on Supervised Release File records.

SECTION 8--SUPPLEMENTAL RECORD

8.1 MESSAGE FIELD CODES FOR SUPPLEMENTAL RECORD ENTRY

FIELD NAME	REQUIREMENTS	MESSAGE FIELD CODES	FIELD LENGTH	DATA TYPE
HEADER	MANDATORY	HDR	9-19	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
MESSAGE KEY	MANDATORY	MKE	3-3	ALPHABETIC
ORIGINATING AGENCY IDENTIFIER	MANDATORY	ORI	9-9	ALPHABETIC, NUMERIC
NAME	CONDITIONAL	NAM	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
NCIC NUMBER	CONDITIONAL	NIC	10-10	ALPHABETIC, NUMERIC
ORIGINATING AGENCY CASE NUMBER	CONDITIONAL	OCA	1-20	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
ALIAS	OPTIONAL	AKA	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
DATE OF BIRTH	OPTIONAL	DOB	8-8	NUMERIC
SCARS, MARKS, TATTOOS, AND OTHER CHARACTERISTICS	OPTIONAL	SMT	3-10	CODE AS DEFINED IN NCIC 2000 CODE MANUAL
MISCELLANEOUS NUMBER	OPTIONAL	MNU	4-15	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
SOCIAL SECURITY NUMBER	OPTIONAL	SOC	9-9	NUMERIC
OPERATOR'S LICENSE NUMBER	OPTIONAL SET	OLN ¹		
LICENSE PLATE NUMBER	OPTIONAL SET	LIC ²		
VEHICLE IDENTIFICATION NUMBER	OPTIONAL SET	VIN ³		
IMAGE NCIC NUMBER	OPTIONAL SET	IMN ⁴		
CAUTION AND MEDICAL CONDITIONS	OPTIONAL	CMC	2-2	CODE AS DEFINED IN NCIC 2000 CODE MANUAL

¹OLN/<OLN>.<OLS>.<OLY>

²LIC/<LIC>.<LIS>.<LIY>.<LIT>

³VIN/<VIN>.<VYR>.<VMA>.<VMO>.<VST>.<VCO>

⁴IMN/<IMN>.<IMT>

8.2 WHEN TO ENTER A SUPPLEMENTAL RECORD

Entry of an alias and/or other additional identifiers as a supplemental record to a Convicted Person on Supervised Release File record (to be placed in NCIC 2000 by an entry separate from the Convicted Person on Supervised Release entry) may be made only by the agency that entered the Convicted Person on Supervised Release record.

8.3 EXAMPLE OF A SUPPLEMENTAL RECORD ENTRY

```
1N01000005.ECN.MD101260G.NAM/SMITH, JOHN J.OCA/123456789.
AKA/DEER,JIM.BUCK,ROBERT J.DOB/19521012.19520912.LIC/ABC654.MD
1999.PC.LIC/ABC123.MD.1999.PC.VIN/3J57K5D012345.1975.OLDS.CUT.2T.BLU.
SMT/CAUL L EAR.TAT R ARM
```

Acknowledgment:

```
1L01000005
MD101260G
SUPP NAM/SMITH, JOHN J OCA/123456789
```

The above supplemental record entry example contains: header (1N01HEADER), message key (ECN), Originating Agency Identifier (MD101260G), two record identifiers (NAM/SMITH, JOHN J and OCA/123456789), and the supplemental data added to the record: two aliases (AKA/DEER, JIM and BUCK, ROBERT J), two dates of birth (DOB/19521012 and 19520912), two sets of license plate data (LIC/ABC654.MD.1999.PC and LIC/ABC123.MD.1999.PC), vehicle information (VIN/3J57K5D0112345. 1975. OLDS. CUT. 2T. BLU), and two sets of scars, marks, and tattoos data (SMT/CAUL L EAR and TAT R ARM).

8.4 IDENTIFICATION OF A RECORD FOR SUPPLEMENTAL ENTRY

The Convicted Person on Supervised Release File record to which a supplemental record of alias(es) and/or other additional identifiers is to be added must be identified by either NAM and NIC, in that order; NAM and OCA, in that order; or NIC and OCA, in that order, with each data element preceded by the proper MFC. Identifiers used in the above example are NAM and OCA Fields. The name used in identifying the record to which a supplemental record is to be added must be set forth with the exact spelling and spacing as shown in the record on file.

8.5 NUMBER OF ADDITIONAL IDENTIFIERS IN A SUPPLEMENTAL RECORD

1. The AKA Field and all other additional identifying data fields which may be included in a supplemental record with their field codes and the maximum number of aliases and/or additional identifiers that may be added to a Convicted Person on Supervised Release File record are the following:

Field	Field Code	Maximum Number Permitted
Alias	AKA	99
Date of Birth	DOB	9
Scars, Marks, Tattoos, and Other Characteristics	SMT	9
Miscellaneous Identifying Number	MNU	9
Social Security Number	SOC	9
Operator's License Number, State, and Year of Expiration (entered as a set)	OLN	9
License Plate Number, State, Year of Expiration, and Type (entered as a set)	LIC	9
Vehicle Identification Number, Year, Make, Model, Style, and Color (entered as a set; model and color not required for entry, but should be entered if known)	VIN	9
Caution and Medical Conditions	CMC	10
Image NCIC Number and Image Type (entered as a set)	IMN	12

2. IMN and IMT Fields (up to 12: 1 mugshot, 1 signature, 10 identifying images) are added to the supplemental record when an image is entered and the NIC of the base record is included in the entry transaction. Additional details can be found in the Image File chapter of this manual.
3. A maximum of 99 AKAs, 10 CMCs, 9 DOBs, SMTs, MNUs, SOCs, OLNs, LICs, and VINs may be added to a Convicted Person on Supervised Release File record.

For example, a record relating to JOHN HARRY DOE might have an appended supplemental record of aliases and other additional identifiers consisting of three other names (AKAs), nine DOBs, five SMTs, two MNUs (an army serial number and a mariner's document number), two sets of data describing operator's licenses known to have been issued in two states, three sets of data describing license plates known to have come into his possession, and one set of data concerning an automobile known to be in his possession.

4. Entry of these additional data would require several supplemental record entry messages as all of these additional identifiers could not be included in one supplemental record entry message.

8.6 MAXIMUM NUMBER OF ADDITIONAL IDENTIFIERS IN A SUPPLEMENTAL ENTRY

A maximum of nine additional identifiers of various kinds may be entered in one supplemental record entry message. Additional messages are required if more identifiers are to be entered. Each AKA (or variation of name spelling), DOB, SMT, MNU, SOC, or CMC Field is counted as one identifier. Each set of data relating to an operator's license, a license plate, or a vehicle is likewise counted as one identifier.

8.7 ADDITIONAL GUIDELINES FOR SUPPLEMENTAL RECORD ENTRY

1. After the Convicted Person on Supervised Release File record has been identified, each field included in a supplemental record entry, except those entered in sets of data, must be identified by the appropriate field code followed by a slash and the item(s) of data. The data elements must be separated by a period and each field must be ended with a period. Fields that are not a part of sets of data may be entered in any order in a supplemental record entry.
2. A field in the main record must contain data before any supplemental entry for that same field will be accepted. For example, any attempt to enter a Social Security number in a supplemental record will be rejected as a format error if the base record does not contain a Social Security number in the SOC Field.
3. All numeric identifiers excluding OCA and MNU are to be entered omitting spaces, hyphens, and symbols. A hyphen may be used in the OCA Field, and a hyphen must be used to separate the two alpha characters from the number itself in the MNU Field.
4. When a supplemental record entry contains data on a person, a vehicle, or a license plate already in file in a person file (Missing Person, etc.), Vehicle, or License Plate File record, the agency making the supplemental record entry will be furnished the record on file at the time the supplemental record entry message is acknowledged.

5. Further guidelines for the coding of personal identifiers can be found in Personal Descriptors, *NCIC 2000 Code Manual*.

8.8 MESSAGE FIELD CODE EDITS FOR SUPPLEMENTAL RECORD ENTRY

1. ALIAS FIELD (AKA)

1. Field code AKA followed by a slash is used to identify an alias. An alias includes any name in which the surname is different from the surname in the NAM and any surname spelling variations. Name spelling variations of the first or middle names can only be shown as an AKA if the surname changes, i.e., NAM/THOMPSON, ROBERT and AKA/THOMSON, BOB.
2. Aliases are to be listed with the last name, comma (space after comma is optional), first name or initial, space, middle name or initial, if any, space, and suffix indicating seniority if any, e.g., SR or III. Aliases and/or variations in name spelling must be separated by a period without repeating the MFC AKA.
3. Nicknames (monikers) are to be entered in the MIS Field, not in the AKA Field of the Convicted Person on Supervised Release File record. Additional information for the coding of aliases can be found in Personal Descriptors, *NCIC 2000 Code Manual*.

2. OPERATOR'S LICENSE (OLN) FIELD

Field code OLN followed by a slash is used to identify additional operator's license data in an entry. The three data elements making up this set are OLN, OLS, and OLY. All three elements must be included in the order listed in the format and must be separated by a period. For example:

OLN/L234526677238.VA.1980.

In the event more than one operator's license is to be entered at the same time, each set of operator's license data must be preceded by MFC OLN/.

3. LICENSE PLATE (LIC) FIELD

Field code LIC followed by a slash is used to identify additional license plate data in an entry. This set contains four data elements: LIC, LIS, LIY, and LIT. Elements must be entered in the order listed in the format and must be separated by a period. For example:

LIC/CBA321.MD.2000.TK.

In the event more than one set of license plates is to be included in one supplemental message, each set of license plate data must be preceded by MFC LIC/.

4. VEHICULAR DATA

1. Field code VIN followed by a slash is used to identify an additional vehicle in a supplemental entry. This set contains the following six data elements: VIN, VYR, VMA, VMO, VST, and VCO.

2. The VIN, VYR, VMA, and VST are required for entry. However, model and color should be entered in proper order when available. Elements must be separated by periods and each element must be accounted for. For example:

VIN/1L69X6J023456.1976.CHEV.IMP.4D.BLK.

or

VIN/1D37Q6R001225.1976.CHEV..2D..

3. In the event data related to other vehicles are entered at the same time, each set of vehicular data must be preceded by MFC VIN/.

5. ADDITIONAL IDENTIFYING DATA

Additional dates of birth; scars, marks, and tattoos, etc.; miscellaneous numbers; Social Security numbers; and caution and medical conditions follow the field codes DOB/, SMT/, MNU/, and SOC/, and CMC/, respectively, without repeating the MFC and separated only by periods. For example:

DOB/19570102.19550823.SMT/SC L CHK.POCKMARKS.

MNU/AF-123456789.SOC/123456789

8.9 MODIFICATION OF AN ALIAS(ES) AND/OR OTHER IDENTIFIERS IN A SUPPLEMENTAL RECORD

1. No one-step procedure is available to modify an alias or other identifier in a supplemental record to a Convicted Person on Supervised Release File record.

2. To modify an AKA or other identifier in a supplemental record, the incorrect AKA or other identifier must be canceled and reentered. Once canceled, the correct alias or other identifier can be entered. Cancellation procedures are explained in Section 3 of this chapter.

3. A modify message to the base record to delete information in a field will cause the same data, except for vehicular data, in the supplemental record to move up to the base record.

8.10 EXAMPLE OF A SUPPLEMENTAL RECORD ALIAS AND OTHER IDENTIFIERS CANCELLATION

1N01HEADER.XCN.MD101260G.NAM/SMITH, JOHN J.NIC/C146203706.
AKA/BUCK, ROBERT J.DOB/19520912.LIC/ABC654.MD.2000.PC

Acknowledgment:

1L01HEADER
MD101260G
CANCEL SUPP NAM/SMITH, JOHN J NIC/C146203706
AKA/BUCK, ROBERT J
DOB/19520912
LIC/ABC654.MD.2000.PC

The above example of cancellation of an alias and other additional identifiers in a supplemental record contains: header (1N01HEADER), message key (XCN), Originating Agency Identifier (MD101260G), two record identifiers (NAM/SMITH, JOHN J and NIC/C146203706), data to be canceled: an alias (AKA/BUCK, ROBERT J), date of birth (DOB/19520912), and four fields of license plate data (LIC/ABC654.MD.2000.PC).

8.11 IDENTIFICATION OF A RECORD FOR CANCELLATION OF ADDITIONAL IDENTIFIERS

When canceling an alias and/or other additional identifiers contained in a supplemental record, the Convicted Person on Supervised Release record to which the supplemental record is appended must be identified by either NAM and NIC, in that order; NAM and OCA, in that order; or NIC and OCA, in that order, with each data element preceded by the proper MFC. The supplemental data to be canceled, with each field preceded by the proper MFC, then follow.

8.12 MAXIMUM NUMBER OF ADDITIONAL IDENTIFIERS IN A CANCELLATION MESSAGE

A maximum of nine identifiers of various kinds may be canceled in one cancel message. Additional messages are required if more than nine identifiers are to be canceled. Each AKA (or name spelling variation), DOB, SMT, MNU, SOC, or CMC is counted as one identifier. Each set of operator's license, license plate, or vehicle data is counted as one identifier.

8.13 ADDITIONAL GUIDELINES FOR SUPPLEMENTAL DATA CANCELLATION

1. Aliases; dates of birth; scars, marks, tattoos, and other characteristics; miscellaneous numbers; Social Security numbers and/or caution and medical conditions to be canceled are to be set out in the cancel message in the same manner as in a supplemental record entry. That is, more than one identifier of the same type may follow the MFC without repetition of the MFC. However, character for character, each identifier to be canceled must be set out exactly as it appears in the supplemental record on file.

2. Each set of operator's license or license plate data must be preceded by OLN/ or LIC/, respectively, and all data elements of the set must appear exactly as they appear in the supplemental record on file. When canceling vehicle data, only the VIN and VMA are required following VIN/, with a period to account for the intervening VYR. For example:

VIN/1L69X6J023456..CHEV.

3. When a Convicted Person on Supervised Release File record is canceled, its entire supplemental record is automatically canceled.
4. Up to nine images can be canceled in a supplemental cancel transaction: one signature, one mugshot, and/or ten identifying images. The format is IMN/ <IMN>.<IMT>. The IMN is the NIC of the image, and the image type will be either S, M, or I. If the image type of the record is not the same type as defined by the IMT, the supplemental data will be rejected.

8.14 MESSAGE FIELD CODES FOR FRAUDULENT DATA RECORD

FIELD NAME	REQUIREMENTS	MESSAGE FIELD CODES	FIELD LENGTH	DATA TYPE
HEADER	MANDATORY	HDR	9-19	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
MESSAGE KEY	MANDATORY	MKE	4-4	ALPHABETIC
NAME	CONDITIONAL	NAM	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
NCIC NUMBER	CONDITIONAL	NIC	10-10	ALPHABETIC, NUMERIC
ORIGINATING AGENCY CASE NUMBER	CONDITIONAL	OCA	1-20	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
NAME*	OPTIONAL	NAM	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
DATE OF BIRTH	OPTIONAL	DOB	8-8	NUMERIC
SOCIAL SECURITY NUMBER	OPTIONAL	SOC	9-9	NUMERIC
MISCELLANEOUS NUMBER	OPTIONAL	MNU	4-15	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
OPERATOR'S LICENSE NUMBER	OPTIONAL SET	OLN	1-20	ALPHABETIC, NUMERIC
OPERATOR'S LICENSE STATE	SET	OLS	2-2	ALPHABETIC
OPERATOR'S LICENSE YEAR OF EXPIRATION	SET	OLY	2-2 4-4	ALPHABETIC (2) NUMERIC (4)

*Stolen/fraudulent name

8.15 ENTRY OR CANCELLATION OF SUPPLEMENTAL STOLEN/FRAUDULENT IDENTIFIER RECORDS

1. A supplemental stolen/fraudulent (S/F) identifier record may be appended to or removed from a base record only by the agency that entered the base record. The base record to which a supplemental S/F identifier record is being added or canceled must be identified by either the base record NAM and NIC, in that order; the base record NAM and OCA, in that order; or NIC and OCA, in that order, with each data element preceded by the proper MFC. The name used to identify the base record must be typed with the exact spelling and spacing as shown in the base record on file.
2. The identifiers which may be included in a supplemental S/F identifier record entry or cancel message are listed below with their MFCs and the maximum number that may be appended to a base record:

Field	Field Code	Maximum Number Permitted
Stolen/Fraudulent Name	NAM	99
Stolen/Fraudulent Date of Birth	DOB	9
Stolen/Fraudulent Miscellaneous Identifying Number	MNU	9
Stolen/Fraudulent Social Security Number	SOC	9
Stolen/Fraudulent Operator's License Number, Operator's License State, and Operator's License Year of Expiration	OLN	9

3. The S/F identifiers being entered or canceled follow the two base record identifiers. Each type of identifier included in a supplemental S/F record entry or cancel, except OLS and OLY, must be identified by the proper MFC followed by a slash and the identifier(s).
4. Multiple identifiers may be entered following the MFC. The identifiers must be separated by a period and each field must end with a period. All fields except the operator's license fields may be entered in any order in a supplemental record entry. For example, two SOC's and two DOB's contained in an entry or cancel message might appear as the following:

SOC/123456789.987654321.DOB/19710101.19711231

5. The OLN in the supplemental S/F record format is a multiple element data field; there is more than one data element following the field code and slash. Each OLN must be preceded by the MFC OLN and a slash (/). The OLS and OLY must immediately follow the OLN and are entered without a MFC. For example, the entry or cancellation of two OLN's might include the following:

```
OLN/98765432.MD.1993.OLN/VA111111111.VA.1992
```

6. A maximum of nine additional identifiers of various kinds may be entered or canceled in one supplemental record message. Additional messages are required if more identifiers are to be entered. Each S/F NAM, DOB, SOC, and MNU is counted as one identifier. Each set of data relating to an OLN (i.e., OLN, OLS, and OLY) is counted as one identifier.

7. An example of a supplemental S/F identifier record entry message follows. This example appends two S/F names, three dates of birth, two Social Security numbers, and two operator's license numbers:

```
1N01000005.ECNS.MD101260G.NAM/JONES, RANDY.
OCA/9015243.DOB/19451231.19460101.19440631.
NAM/BROOKS,PETER.SMITH, THOMAS.SOC/123456789.
987654321.OLN/4567890.MD.1993.OLN/VA111111111.VA.1992
```

Acknowledgment:

```
1L01HEADER
MD101260G
S/F SUPP NAM/JONES, RANDY OCA/9015243
```

An example of a supplemental S/F identifier record cancellation message follows. This example removes two S/F dates of birth, one Social Security number, and one operator's license number:

```
1N01HEADER.XCNS.MD101260G.JONES, RANDY.OCA/9015243.
DOB/19451231.19460101.SOC/123456789.OLN/9876543.MD.1993
```

Acknowledgment:

```
1L01HEADER
MD101260G
CANCEL S/F SUPP NAM/JONES, RANDY OCA/9015243
S/F DOB/19451231
S/F DOB/19460101
S/F SOC/123456789
S/F OLN/9876543.MD.1993
```

8.16 MODIFICATION OF A STOLEN/FRAUDULENT IDENTIFIER RECORD

Supplemental S/F identifier records cannot be modified. To change an existing identifier, it must be canceled using the supplemental S/F identifier record cancellation message (MKE/XCNS). The correct information can then be entered using the supplemental S/F identifier record entry message (MKE/ECNS).